

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 9136-AG10-0420-074

IN THE MATTER OF:

Garth Aronson,
Respondent,

1818 Olive Rd
Homewood, IL 60430

Type of Agency Action: Enforcement

License Number: 177879N

FILED

MAY 07 2010

STATE OF INDIANA
DEPT. OF INSURANCE

FINAL ORDER AND APPROVAL

The Indiana Department of Insurance ("Department") and Garth Aronson, ("Respondent"), a non-resident insurance agent licensed in the state of Indiana, signed an Agreed Entry which purports to resolve all issues regarding the above cause number, and which has been submitted to the Commissioner of Insurance (the "Commissioner") for approval.

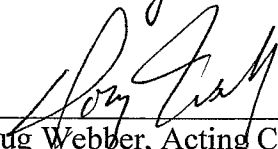
The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED, by the Commissioner of Insurance:

1. Respondent's behavior is cause for discipline under Indiana Code 27-1-15.6-12 (b)(8).
2. Respondent's Indiana non-resident producer license shall be permanently

revoked, effective immediately.

ALL OF WHICH IS ORDERED this 7th day of May, 2010.



Doug Webber, Acting Commissioner
Indiana Department of Insurance

Distribution:

Garth Aronson
1818 Olive Rd
Homewood, IL 60430

Nikolas P. Mann
INDIANA DEPARTMENT OF INSURANCE
311 West Washington Street, Suite 300
Indianapolis, Indiana 46204-2787

STATE OF INDIANA)
) SS:
COUNTY OF MARION)

BEFORE THE INDIANA
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 9136-AG10-0420-074

IN THE MATTER OF:

Garth Aronson,
Respondent,

1818 Olive Rd
Homewood, IL 60430

Type of Agency Action: Enforcement

License Number: 177879N

FILED

MAY 07 2010

STATE OF INDIANA
DEPT. OF INSURANCE

AGREED ENTRY

This Agreed Entry is executed by Nikolas P. Mann, Attorney for and on behalf of the State of Indiana, Indiana Department of Insurance ("Department"), and Garth Aronson ("Respondent"), a non-resident insurance producer licensed in the State of Indiana, to resolve all issues in the above cause number. This Agreed Entry is subject to the review and approval of Doug Webber, Acting Commissioner, Indiana Department of Insurance.

WHEREAS, Respondent is a non-resident insurance producer licensed in the State of Indiana, holding license number 177879N; and

WHEREAS, the Department received a complaint on behalf of Thelma and Natrona Wilson wherein it was stated that Respondent had recommended the complainants exchange two annuities for three new annuities, the purpose of which was to create new business for Respondent in an effort for him to maintain employment; and

WHEREAS, as a result of an admitted miscalculation by Respondent, the exchange of the annuities caused the Wilson's to incur in excess of \$74,000 in fees and penalties, including surrender charges and tax liabilities; and

WHEREAS, Respondent has reimbursed the Wilsons for their losses through his errors and omissions insurance policy; and


WHEREAS, Respondent's behavior, as alleged herein, is cause for discipline under Indiana Code 27-1-15.6-12 (b)(8); and,

WHEREAS, the Department and Respondent desire to resolve their differences and settle the issues without the necessity of a hearing;


IT IS THEREFORE NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter and Respondent in this administrative action.
2. This Agreed Entry is executed voluntarily by the parties.
3. Respondent voluntarily and freely waives the right to a public hearing.
4. Respondent voluntarily and freely waives the right to judicial review of this matter.
5. Respondent's Indiana non-resident producer license shall be permanently revoked, effective immediately.
6. Respondent has carefully read this agreement and fully understands and accepts its terms.

5/3/10
Date Signed


Nikolas P. Mann, Attorney
Indiana Department of Insurance

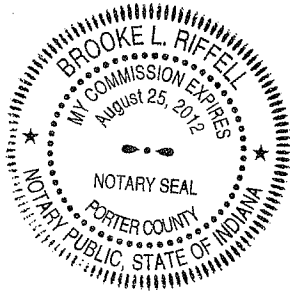
04-27-2010
Date Signed


Garth Aronson,
Respondent

STATE OF INDIANA)
) SS:
COUNTY OF LAKE)

Before me a Notary Public for LAKE County, State of
INDIANA, personally appeared Garth Aronson, and being first duly sworn by me upon his
oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 27th day of April, 2010.



[Signature]
Signature
Brooke L Riffell
Printed

My Commissioner expires: 8-25-12

County of Residence: Porter, Indiana